

1 **Title:** On the Origin of Event-Related Potentials Indexing Covert Attentional  
2 Selection During Visual Search: Timing Of Selection by Macaque Frontal Eye Field And  
3 Event-Related Potentials During Pop-Out Search  
4

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16

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18

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45 **Event-related potentials (ERP) have provided crucial data concerning the**  
46 **time course of psychological processes, but the neural mechanisms**  
47 **producing ERP components remain poorly understood. This study**  
48 **continues a program of research in which we investigated the neural basis**  
49 **of attention-related ERP components by simultaneously recording**  
50 **intracranially and extracranially from macaque monkeys. Here, we**  
51 **compare the timing of attentional selection by the macaque homologue of**  
52 **the human N2pc component (m-N2pc) with the timing of selection in the**  
53 **frontal eye field (FEF), an attentional-control structure believed to influence**  
54 **posterior visual areas thought to generate the N2pc. We recorded FEF**  
55 **single-unit spiking and local field potentials (LFP) simultaneously with the**  
56 **m-N2pc in monkeys performing an efficient pop-out search task. We**  
57 **assessed how the timing of attentional selection depends on task demands**  
58 **by direct comparison to a previous study of inefficient search in the same**  
59 **monkeys (i.e., finding a T among Ls). Target selection by FEF spikes, LFPs**  
60 **and the m-N2pc was earlier during efficient, pop-out search than during**  
61 **inefficient search. The timing and magnitude of selection in all three**  
62 **signals varied with set size during inefficient, but not efficient search.**  
63 **During pop-out search, attentional selection was evident in FEF spiking**  
64 **and LFP before the m-N2pc, following the same sequence observed during**  
65 **inefficient search. These observations are consistent with the hypothesis**  
66 **that feedback from FEF modulates neural activity in posterior regions that**  
67 **appear to generate the m-N2pc even when competition for attention among**  
68 **items in a visual scene is minimal.**

69

70       Event-related potentials (ERPs) provide crucial information on the timing of  
71 specific cognitive operations (Luck 2005). Attention-related ERPs can track  
72 shifts in attentional allocation in humans processing complex scenes (Woodman  
73 and Luck 1999; 2003). Specifically, the N2pc component provides an index of

74 attentional allocation across the visual field (Luck and Hillyard 1994a; b), but a  
75 thorough investigation into the neural mechanisms that generate the N2pc is  
76 precluded by the difficulty in obtaining intracranial recordings from human  
77 subjects. Current source density and source estimation procedures suggest that  
78 the N2pc is generated by attentional modulations in posterior visual regions  
79 (Boehler et al. 2011; Hopf et al. 2004; Hopf et al. 2000; Luck and Hillyard 1994a),  
80 but these methods are under-constrained without intracranial data (Helmholtz  
81 1853; Luck 2005; Nunez and Srinivasan 2006) and cannot resolve hypotheses  
82 concerning the influence of more distal regions that drive the underlying neural  
83 generator.

84 We have addressed this methodological shortcoming by simultaneously  
85 recording ERPs with intracranial signals in non-human primates (Woodman  
86 2011). We recently identified a macaque homologue of the N2pc component,  
87 termed the m-N2pc, which is a relative positivity contralateral to an attended item  
88 (Cohen et al. 2009a; Heitz et al. 2010; Woodman et al. 2007). The human N2pc  
89 was originally hypothesized to be due to feedback from attentional-control  
90 structures because of its relatively long latency and sensitivity to task-demands  
91 (Luck and Hillyard 1994a), but until recently it has been impossible to test this  
92 hypothesis directly. ERPs lack the spatial resolution to distinguish the attention-  
93 related modulations in visual cortex from control structures in frontal cortex  
94 thought to drive those modulations. This has led to controversy about the  
95 degree to which the N2pc reflects bottom-up versus top-down attentional signals  
96 (Eimer and Kiss 2010; Theeuwes 2010). Having established a homologous

97 component in monkeys, we can test this hypothesis using targeted, invasive  
98 procedures that are impossible in healthy humans.

99       The frontal eye field (FEF) is a region of prefrontal cortex thought to be  
100 involved in attentional control. FEF single-unit spiking and local field potentials  
101 (LFP) evolve to identify the location of behaviorally-relevant search targets  
102 (Bichot and Schall 1999; Cohen et al. 2009a; Cohen et al. 2009b; Monosov et al.  
103 2008; Sato et al. 2001; Thompson and Bichot 2005), whether or not a saccade is  
104 generated (Thompson et al. 1997; Thompson et al. 2005). For this reason, FEF  
105 has been identified with a salience map that guides attentional deployment  
106 (Thompson and Bichot 2005), possibly via projections to extrastriate visual cortex  
107 (Anderson et al. 2011; Ninomiya et al. 2011; Pouget et al. 2009). The role of  
108 FEF in top-down attentional control is further supported by the effects of FEF  
109 microstimulation on activity in extrastriate visual cortex (Ekstrom et al. 2008;  
110 Moore and Armstrong 2003). Thus, FEF is a prime candidate for an attentional-  
111 control structure that could drive the neural generator of the N2pc.

112       We recently found that FEF neurons and LFPs select the location of search  
113 targets before the m-N2pc during an inefficient visual search task (Cohen et al.  
114 2009a). This result is consistent with the hypothesis that feedback from FEF  
115 participates in driving the putative posterior generator of the m-N2pc. This  
116 hypothesis is also supported by intracranial recordings demonstrating that  
117 attentional selection occurs in prefrontal cortex before LIP (Buschman and Miller  
118 2007), V4 (Zhou and Desimone 2010) and IT (Monosov et al. 2010) during  
119 attentionally-demanding tasks. However, it is not clear how this timing depends

120 on task demands. For example, one study has found that the ordering of  
121 selection across cortex depends on search difficulty (Buschman and Miller 2007),  
122 which could influence the timing of the N2pc relative to FEF. In addition, a recent  
123 study reported an N2pc in response to a task-irrelevant singleton (Hickey et al.,  
124 2006), suggesting that this component may not depend on top-down influences.  
125 Moreover, some theories of visual attention propose that efficient search for a  
126 target defined by a single feature can be performed pre-attentively (Treisman and  
127 Gelade, 1980). Thus, it could be the case that the onset of the N2pc followed  
128 attentional selection in FEF because the task required explicit top-down control,  
129 but the same may not hold true during efficient search tasks.

130 To determine the degree to which the timing of selection in FEF and the m-  
131 N2pc depends on attentional demands, we recorded ERPs from monkeys  
132 performing an efficient pop-out visual search task simultaneously with FEF  
133 single-unit activity and LFPs. The experimental protocol, analytical and statistical  
134 methods, and monkeys were the same as those used in a previous report on  
135 attentional selection during inefficient T versus L search to allow for direct  
136 comparison across studies (Cohen et al. 2009a). If these three signals reflect the  
137 timing of attentional allocation, then the timing of selection should modulate with  
138 set size when search is inefficient, but not when search is efficient. In addition, if  
139 efficient search requires feedback from the saliency map of FEF to the neural  
140 generator of the m-N2pc, then we would expect selection in FEF to precede or  
141 coincide with the m-N2pc as was observed during inefficient search. We would  
142 also expect to see trial-by-trial correlations between FEF activity and the m-N2pc.

143

144 **MATERIALS AND METHODS**145 **Behavioral tasks and recordings**

146 *Recording procedure.* We simultaneously recorded neuronal spikes, LFPs,  
147 and the extracranial electroencephalogram (EEG) from two male macaques  
148 (*Macaca radiata*, identified as Q and S). Monkeys were surgically implanted with  
149 a head post, a subconjunctive eye coil, and recording chambers during aseptic  
150 surgery under isoflurane anesthesia. Antibiotics and analgesics were  
151 administered postoperative. All surgical and experimental procedures were in  
152 accordance with the National Institute of Health Guide for the Care and Use of  
153 Laboratory Animals and approved by the Vanderbilt Institutional Animal Care and  
154 Use Committee.

155 Neurons and LFPs were recorded from the right and left FEF of both  
156 monkeys using tungsten microelectrodes (2-4 M $\Omega$ , FHC) and were referenced to  
157 a guide tube in contact with the dura. All FEF recordings were acquired from the  
158 rostral bank of the arcuate sulcus at sites where saccades were evoked with low-  
159 intensity electrical microstimulation (<50  $\mu$ A; Bruce et al. 1985). Spikes were  
160 sampled at 40 kHz and LFPs were sampled at 1 kHz. LFPs were band-pass  
161 filtered between 0.2 and 300 Hz and amplified using a Plexon HST/8o50-G1  
162 head-stage. LFPs were baseline corrected using the average voltage during the  
163 window from 100 to 0 ms before array presentation. Spikes were sorted online  
164 using a time-amplitude window discriminator and offline using principal  
165 component analysis and template matching (Plexon Inc.). We generated spike

166 density functions by convolving each spike train with a kernel resembling a  
167 postsynaptic potential (Thompson et al. 1996).

168       Following the method of Woodman et al. (2007), we recorded ERPs from  
169 gold skull electrodes implanted 1 mm into the skull. Electrodes were located at  
170 approximately T5/T6 in the human 10-20 system scaled to the macaque skull.  
171 EEG signals were sampled at 1 kHz and filtered between 0.7 and 170 Hz. A  
172 frontal EEG electrode (approximating human Fz) was used as the reference for  
173 the lateral, posterior EEG signals.

174       *Behavioral tasks.* The monkeys performed a pop-out visual search task and  
175 a memory-guided saccade task, the latter allowed for the classification of  
176 different cell types. All tasks began with the monkey fixating a central white spot  
177 for ~500ms. In the pop-out visual search task (see Figure 1A), the fixation point  
178 changed from a filled to an unfilled white square ( $10.3 \text{ cd/m}^2$ ) simultaneously with  
179 the presentation of a colored target and one, three, or seven distractors of the  
180 opposite color. The number of distractors varied randomly across trials. Targets  
181 and distractors were either red (CIE chromaticity coordinates  $x = 0.620$ ,  $y =$   
182  $0.337$ ) or green (CIE  $x = 0.289$ ,  $y = 0.605$ ). The target and distractor color  
183 remained constant throughout the session and target color was varied across  
184 sessions. The monkey was rewarded for making a single saccade to the location  
185 of the target within 2000 ms of array presentation and fixating that target for 500  
186 ms.

187       Each neuron was also recorded during a memory-guided saccade task to  
188 distinguish visual- from movement-related activity (Bruce and Goldberg 1985;

189 Hikosaka and Wurtz 1983). In this task, a target (filled gray disk) was presented  
190 for 100 ms at one of eight isoeccentric locations equally spaced around the  
191 fixation spot at 10° eccentricity. The animal was required to maintain fixation for  
192 400-800 ms (uniform distribution) after the target presentation. After the fixation  
193 point changed from a filled square to an unfilled square, the monkeys were  
194 rewarded for making a saccade to the remembered location of the target and  
195 maintaining fixation at that remembered location for 500 ms.

196 We also analyzed previously published FEF neurons, FEF LFPs, and the m-  
197 N2pc recorded from the same monkeys during an inefficient visual search  
198 (Figure 1B; Cohen et al. 2009a; Cohen et al. 2009b; Woodman et al. 2008). The  
199 task was identical to the pop-out search task described above except that  
200 monkeys searched for a target defined by form (T or L in one of four orientations)  
201 among distractors (Ls or Ts, respectively). Target identity varied across  
202 sessions. Analytical and procedural methods were identical for data collected  
203 during both tasks. This allowed us to perform statistical comparisons between  
204 our new data collected during pop-out search and previously published data  
205 collected during inefficient search.

206

## 207 **Data analysis**

208 *Neuron classification.* We identified task-related neurons and LFPs by  
209 comparing activity to the baseline period 50 ms before presentation of the array.  
210 A neuron or LFP signal was classified as *visually responsive* if activity (discharge  
211 rate or voltage) was significantly different from baseline in the interval 50-200 ms



212 following stimulus presentation during the memory-guided saccade task and in  
213 the interval 50-150 ms during search (Wilcoxon rank-sum test,  $P < 0.05$ ). A  
214 neuron or LFP was classified as *saccade-related* if activity was significantly  
215 different from baseline in the interval -100 to 100 ms relative to saccade initiation  
216 for all tasks. Unless otherwise noted, our analyses focused on visually-  
217 responsive units with or without saccade-related modulation because these are  
218 the neurons known to represent visual salience (Bichot and Schall 1999; Sato et  
219 al. 2001; Thompson and Bichot 2005) and likely to project to posterior visual  
220 areas thought to generate the N2pc (Gregoriou et al. 2012; Pouget et al. 2009;  
221 Thompson et al. 1996). Of the 102 total neurons we recorded, 84 neurons (82%)  
222 exhibited significant visual responses. Of the 141 total LFP sites we recorded,  
223 133 LFPs (94%) exhibited significant visual responses. Of the 84 sites in which  
224 visually responsive neurons were recorded, 81 (96%) also exhibited visually-  
225 responsive LFPs. Thus, the sample size was 81 for the paired comparisons of  
226 simultaneously recorded neurons, LFPs, and ERPs. Of the 99 visually-  
227 responsive LFP sites in which neurons were concurrently recorded, 18 neurons  
228 (18%) did not exhibit visual responses.

229 *Selection time.* We used a “neuron-antineuron” approach to determine the  
230 selection time when the target location could be reliably discriminated in single-  
231 unit spiking, LFPs, and ERPs (Britten et al. 1992; Thompson et al. 1996). The  
232 onset of the m-N2pc component is identified as the time when ERPs recorded at  
233 posterior lateralized electrodes become different based on the location of the  
234 attended target item (i.e., selection time). Here, the selection time is defined as

235 the time at which the distribution of activity when the search target is inside a  
236 receptive field is significantly greater than the distribution of activity when the  
237 target is opposite the receptive field for 10 consecutive milliseconds with a  
238 conservative  $\alpha$  value of 0.01 (Wilcoxon rank-sum test). These criteria are  
239 identical to a previous report (Cohen et al. 2009a). For all signals, we defined  
240 the receptive field (or preferred location) as the three adjacent target locations in  
241 which the firing rate or voltage modulation maximally deviated from baseline. To  
242 ensure that our results were not the artifact of the orientation of the corneoretinal  
243 potential that changed during the saccade (Godlove et al. 2011b), we also  
244 computed selection time with signals aligned on saccade initiation. Only signals  
245 which selected the target >20ms before saccade initiation were included in this  
246 analysis.

247 For direct comparison with a previous study, we also estimated selection time  
248 by running an ANOVA at each millisecond following target presentation  
249 (Monosov et al. 2008). The resulting p-value gave the probability that the activity  
250 did not vary across target locations. The selection time was the first millisecond  
251 that the p-value dropped below 0.05 before continuing past 0.001 and remaining  
252 below 0.05 for 20 out of 25 subsequent milliseconds. This ensured that  
253 differences across studies cannot be explained by differences in analytical  
254 methods. This method also ensures that our results are not due to our definition  
255 of receptive fields.

256 We also computed population selection times based on all 102 FEF single-  
257 units, 141 LFPs, and the m-N2pc conditionalized on whether the target was

258 contralateral or ipsilateral to the hemisphere over which the signal was recorded.  
259 This approach is more similar to human eletrophysiological studies in which the  
260 N2pc is identified by averaging the waveforms from the posterior lateralized  
261 electrodes based on whether attention is allocated to the contralateral or  
262 ipsilateral visual field. This included neurons and LFP with and without  
263 significant visual responses and with both contralateral and ipsilateral preferred  
264 locations. Since the average firing rates of cortical neurons vary markedly, we  
265 normalized responses between 0 and 1 by subtracting the minimum response  
266 and dividing by the range so that variability across recording sites didn't inflate  
267 selection times. The population selection time is defined as the time when the  
268 distributions of activity when the target is contralateral and ipsilateral significantly  
269 diverge for 10 consecutive milliseconds with  $\alpha = 0.01$  (Wilcoxon rank-sum test).  
270 Here, the distribution is across neurons and recording sites, whereas individual  
271 selection times were based on the distribution across trials. All signals were  
272 truncated at saccade.

273 *Magnitude of selection.* We quantified the magnitude of selection as the  
274 difference in response magnitude when the target or a distractor was in the  
275 receptive field (preferred location) for each signal. For spiking activity, the  
276 magnitude of selection was computed as the difference in average normalized  
277 firing rate from 125 to 200 ms after the array presentation. For LFPs and the m-  
278 N2pc, the magnitude of selection was computed as the integral of the voltage in  
279 the same time window divided by the length of the window (Cohen et al. 2009a).  
280 All signals were truncated at saccade.

281 *Set size effects.* To assess how RT, selection time, and magnitude of  
282 selection depended on set size and search efficiency, we fit a multiple linear  
283 regression model of the form,

$$284 \quad y = \beta_0 + \beta_1 s + \beta_2 e,$$

285 where the independent variable,  $y$ , is the mean RT for each session, or the  
286 selection time and magnitude of selection for each single-unit, LFP, or ERP. The  
287 predictor  $s$  is the set size (in items) and the predictor  $e$  is a dummy variable  
288 representing search efficiency (0 = efficient, 1 = inefficient). We assessed  
289 whether the coefficient  $\beta_1$  was significantly different from zero to test for  
290 significant set size effects. We assessed whether the coefficient,  $\beta_2$ , was  
291 significantly different from zero to test for a significant effect of search efficiency.

292 *Visual response latency.* The latency of the visual response was determined  
293 by comparing baseline activity to activity during a ms-by-ms sliding window  
294 starting at array presentation. For FEF spiking activity and LFPs, the visual  
295 onset was the time when activity first became significantly different from baseline  
296 and remained significant for 10 consecutive ms (Wilcoxon rank-sum test,  $p <$   
297 0.01). For ERPs, we required significance to be maintained for 30 consecutive  
298 ms to eliminate false alarms indicated by bimodality in the distribution and visual  
299 inspection.

300 *Trial-by-trial correlations of spike rate, LFP, and ERP amplitude.* We  
301 computed the Pearson correlation coefficient between the trial-by-trial amplitude  
302 modulation of simultaneously recorded neurons, LFPs, and ERPs. We used only  
303 signals that selected the target in these analyses. For spiking activity, amplitude

304 was computed as the average firing rate in the window from 150 ms after the  
305 array presentation until saccadic response to exclude the nonselective initial  
306 visual response. For LFPs, amplitude was computed as the integral of the  
307 voltage in the same time window divided by the length of the window. We  
308 compared simultaneously recorded neurons and LFPs that were recorded from  
309 the same electrode or spaced ~1 mm apart. For comparison with a previous  
310 study (Cohen et al. 2009a), the ERP amplitude was first computed as the integral  
311 of the voltage in the same time window divided by the length of the time window.  
312 However, it is possible for this method to yield spurious correlations due to  
313 common noise picked up at the frontal reference. As a control, we also  
314 computed the ERP amplitude as the integral of the voltage difference between  
315 the two posterior electrodes divided by the length of the time window. We  
316 computed the correlation using trials in which the target appeared inside the  
317 receptive field of the neuron and LFP. As an additional control, we also  
318 computed the correlation during the baseline period 100 ms before array  
319 presentation. This allowed us to determine the inherent correlations between  
320 these signals independent of those elicited by the analysis of the elements in the  
321 search arrays. For this analysis, we baseline corrected 250-150 ms before the  
322 time window (i.e., 350-250 ms before array presentation).

323         *Control for differences in signal-to-noise ratio.* We measured the change  
324 in selection time with the number of trials to test whether differences in the signal  
325 and noise characteristics of the neural measures could explain observed  
326 differences in selection time. Following the methodology of Cohen et al. (2009a),

327 we characterized the change in selection time as a function of trial number  
 328 (randomly sampled, with replacement) using an exponential function of the form,

$$329 \quad ST = ST_{max+min} e^{-\frac{n}{\tau}} + ST_{min} ,$$

330 where  $ST$  is selection time;  $n$  is the number of trials;  $\tau$  is the decay (in units of  
 331 trials);  $ST_{max+min}$  is the baseline (ms); and  $ST_{min}$  (ms) is the asymptote. We  
 332 optimized parameters to fit  $ST$  as a function of the number of trials individually for  
 333 each neuron, LFP site, and ERP. If the signal-to-noise ratio is comparable  
 334 across signals, then the rate of decay,  $\tau$ , should not vary across signals. If the  
 335 timing of selection varies across signals, then the asymptote,  $ST_{min}$ , should vary  
 336 across signals despite similar rates of decay.

337

## 338 **RESULTS**

### 339 *Behavior*

340 Two monkeys searched for a red or green target stimulus among one, three,  
 341 or seven distractors of the opposite color (Figure 1A). Both monkeys exhibited  
 342 behavioral hallmarks of efficient, pop-out visual search. The slopes of RT by set  
 343 size (i.e., search slopes) were shallow for both monkeys (Figure 1C and Table 1).  
 344 These search slopes are characteristic of pop-out search in humans (Wolfe  
 345 1998) and monkeys (Bichot and Schall 1999). We compared our new efficient  
 346 search data to previous published data from the same monkeys performing an  
 347 inefficient search task for a T among L's, and vice versa (Figure 1B; Cohen et al.,  
 348 2009b). Both monkey's search slopes were significantly shallower during  
 349 efficient search (Figure 1C; Table 1). During efficient search, the slope of

350 percent correct by set size was not significant for monkey Q ( $0.001 \pm 0.002$ ;  $p =$   
351  $0.43$ ; Wilcoxon rank-sum test) and monkey S ( $-0.004 \pm 0.005$ ;  $p = 0.72$ ). These  
352 results clearly indicate more efficient processing during pop-out search and  
353 demonstrate the low attentional demands of the task. It is the neural basis of this  
354 difference in processing efficiency which we turn to next.

355

### 356 *Selection time*

357 We recorded 102 FEF neurons (48 from monkey S and 54 from monkey Q)  
358 that exhibited discharge rate modulations following stimulus presentation or  
359 around the time of saccade initiation. This report focuses on the subset of  
360 65/102 neurons (64%) that exhibited spatially tuned visual responses. We also  
361 recorded LFP from 141 sites (60 in monkey S and 81 in monkey Q). Of these,  
362 109/141 (77%) exhibited spatially tuned visual responses. The neurons and LFP  
363 sites were verified to be in FEF based on low threshold microstimulation (Bruce  
364 et al. 1985). During all of these recordings we simultaneously recorded the m-  
365 N2pc from EEG electrodes over posterior lateral cortex (Figure 2).

366 We compared the *selection time*, the time when each signal first reliably  
367 signaled the target location, in FEF single-units, FEF LFPs, and the m-N2pc.  
368 Figure 2 shows a representative session of simultaneously recorded FEF single-  
369 unit spikes, FEF LFPs, and the m-N2pc. All three signals show an initial visual  
370 response regardless of the target's location in the visual field. However, each  
371 signal evolves over time to discriminate the location of the target stimulus before  
372 the saccade is executed. In our example session, the neuron signaled the target

373 location with an elevated firing rate when the target is inside the RF relative to  
374 when it is outside the RF (165 ms after the presentation of the search array;  
375 Figure 2A). The LFP recorded from the same electrode, signaled the target  
376 location with a greater negativity for the target relative to distractors at  
377 approximately the same time (161 ms; Figure 2B). The m-N2pc signaled the  
378 target location with a greater positivity contralateral to the target, but this  
379 selection did not occur until well after selection by both FEF spikes and LFP (179  
380 ms; Figure 2C).

381 Figure 3 shows the distribution of selection times for all three signals across  
382 our sample of all FEF neurons, FEF LFPs, and concurrently recorded m-N2pc.  
383 Overall, the m-N2pc selected the target later (mean  $\pm$  SE,  $192 \pm 3.9$  ms) than  
384 FEF single-unit spikes ( $160 \pm 4.1$  ms;  $p < 0.001$ ; Wilcoxon rank-sum test) and  
385 FEF LFPs ( $171 \pm 3.9$  ms;  $p < 0.001$ ; Table 2). This chronology was also  
386 observed when these monkeys performed an inefficient T versus L search task  
387 (Cohen et al., 2009a), but average selection time was later in all three signals  
388 (single-units:  $167 \pm 3.6$  ms,  $p = 0.05$ ; LFP:  $194 \pm 3.2$ ,  $p < 0.001$ ; m-N2pc:  $202 \pm$   
389  $1.9$  ms,  $p < 0.001$ ). In general, the selection time difference between FEF and  
390 the m-N2pc was smaller in monkey Q than monkey S (Table 2). One possible  
391 explanation is that FEF feedback was integrated and processed more efficiently  
392 in the visual cortex of monkey Q, which could explain his superior behavioral  
393 performance (mean RT:  $223 \pm 3.0$  ms; percent correct:  $97 \pm 0.7\%$ ) relative to  
394 monkey S (mean RT:  $254 \pm 4.2$  ms; percent correct:  $83 \pm 0.1\%$ ), and larger  
395 amplitude m-N2pc ( $4.0 \pm 0.47$   $\mu$ V) relative to monkey S ( $1.9 \pm 0.65$   $\mu$ V).



396 Regardless, it is clear that the m-N2pc never preceded selection in FEF for both  
397 monkeys, which is inconsistent with a feed-forward hypothesis. Importantly,  
398 selection took place well before mean saccadic response time, indicating that all  
399 signals selected the target sufficiently early to have played a role in the covert  
400 attention processes that precedes saccade execution. Accordingly, the same  
401 pattern of results were observed when we computed selection time with all  
402 signals aligned on the time of saccade initiation; the m-N2pc selected the target  
403 significantly later ( $-71 \pm 8.7$  ms relative to saccade) than both FEF single-units ( $-$   
404  $113 \pm 7.9$  ms;  $p < 0.01$ ) and LFP ( $-105 \pm 6.0$  ms;  $p < 0.01$ ).

405        Figures 4A and 4B show that the simultaneously recorded FEF single-units  
406 and LFPs typically selected the target before the m-N2pc (Table 2). The average  
407 difference between the FEF single-unit selection time and m-N2pc selection time  
408 was  $23 \pm 3.4$  ms ( $p < 0.001$ ; Wilcoxon signed-rank test). The average difference  
409 between FEF LFP and m-N2pc selection time was  $16 \pm 2.5$  ms ( $p < 0.001$ ).

410 When we recomputed selection time using a running ms-by-ms ANOVA  
411 (Monosov et al. 2008), the difference between the m-N2pc and FEF single-units  
412 and LFPs remained positive and significant ( $p < 0.001$ ), indicating that this result  
413 cannot be due to our selection of preferred locations for each signal. This  
414 sequence of selection supports the hypothesis that feedback from FEF  
415 contributes to the generation of the m-N2pc even during pop-out search.

416        One potential explanation is that the m-N2pc is delayed relative to FEF  
417 because ERPs are summing across neurons with different RFs. To test for this  
418 possibility we also computed population selection times based on all FEF single-

419 units, LFPs, and the m-N2pc conditionalized on whether the target was in the  
420 contralateral or ipsilateral hemifield. Analyzed in this way, all three population  
421 signals reflect summation across individual signals with different RFs within a  
422 hemisphere. Population selection times ( $\pm$ SE, bootstrap, 500 samples) for both  
423 FEF single-units ( $145 \pm 18$ ) and LFPs ( $133 \pm 15.8$ ) were still earlier than the m-  
424 N2pc ( $176 \pm 27$ ). The population selection time for FEF LFP is earlier than the  
425 FEF single-unit selection time because LFP in FEF are more strongly  
426 contralaterally biased than single-units (Purcell et al. 2012). It is certain that the  
427 contribution of LFPs and single-units to surface ERPs is more complex than  
428 simple summation across signals, but this result gives us a degree of confidence  
429 that the summation of scattered RFs alone cannot explain our results.

430 We also compared the relative timing of FEF single-units and LFPs to  
431 assess mechanisms of efficient target selection within FEF. During inefficient  
432 search tasks, FEF single-units select the target before FEF LFPs (Cohen et al.  
433 2009a; Monosov et al. 2008). However, across the population of signals, the  
434 selection time for FEF single-units and LFPs was not significantly different during  
435 efficient search (Figure 3; Table 2;  $p = 0.40$ ; Wilcoxon rank-sum test). Likewise,  
436 during efficient search, there was no systematic selection time difference  
437 between FEF single-units and LFPs recorded simultaneously on the same  
438 electrode (Figure 4C;  $0.3 \pm 5.1$  ms;  $p = 0.5$ ; Wilcoxon signed-rank test). We  
439 verified that the selection time difference between FEF single-units and LFP was  
440 significantly smaller during efficient search relative to inefficient search task ( $22 \pm$   
441  $3.0$  ms;  $p < 0.001$ ). This across-task difference was also evident when selection

442 time was computed using a running ANOVA method ( $p < 0.001$ ; Monosov et al.  
443 2008). These results show that when search is efficient, the FEF population  
444 activity indexed by the LFPs can discriminate the target location as rapidly as  
445 individual single-units in the population.

446 We measured the latency of the initial visual response in each signal to  
447 ensure that the differences in selection time were not a consequence of our  
448 recording procedures. For example, maybe all electrophysiological activity is  
449 earlier when measuring high-frequency spikes or lower frequency LFPs on the  
450 microelectrodes relative to the surface ERPs. However, this was not the case.  
451 Across monkeys, the mean latency ( $\pm$  SE) of the earliest visual response in each  
452 neural signal was  $68 \pm 2.4$  ms for FEF neurons,  $56 \pm 1.6$  ms for FEF LFPs, and  
453  $68 \pm 2.7$  ms for the initial visual ERP component (Table 2). These values are  
454 consistent with recent reports (Cohen et al. 2009a; Monosov et al. 2008; Pouget  
455 et al. 2005). The visual latency of the FEF LFPs was significantly earlier than  
456 both FEF neurons and the posterior ERPs ( $p < 0.001$ , Wilcoxon rank-sum test),  
457 but the mean latency of FEF neurons and posterior ERPs were statistically  
458 indistinguishable. The latency of FEF single units is likely similar to the N2pc  
459 because the latency of visual responses in FEF is similar to the visual latency of  
460 neurons in extrastriate (Schmolesky et al. 1998) and posterior parietal (Andersen  
461 et al. 1987) areas thought to contain the electrical fields that directly generate the  
462 N2pc. We also computed the selection time during the memory-guided saccade  
463 task to ensure that the selection time in the m-N2pc does not consistently trail  
464 FEF activity. During the memory-guided saccade task, the mean ( $\pm$ SE) selection

465 time for the m-N2pc ( $101 \pm 3.1$  ms) was not significantly different than the  
466 selection time for FEF single-units ( $105 \pm 3.9$  ms;  $p = 0.94$ ; Wilcoxon rank-sum  
467 test) or LFP ( $111 \pm 4.1$  ms;  $p = 0.55$ ), which indicates that selection time  
468 differences are specific to the visual search task.

469

#### 470 *Timing and magnitude of selection during efficient and inefficient search*

471 Previous studies have shown that discrimination of a target from  
472 distractors by visually responsive FEF neurons marks the outcome of visual  
473 processing for attentional selection (e.g., Thompson et al. 1996, 1997; Sato &  
474 Schall 2003). During inefficient search, selection time increases with set size in  
475 FEF neurons, LFPs, and the m-N2pc (Bichot et al. 2001b; Cohen et al. 2009a;  
476 Cohen et al. 2009b; Sato et al. 2001), which is consistent with delays in the time  
477 required to reliably focus attention on the target. Essentially all models of visual  
478 attention propose that distractors do not effectively compete for selection during  
479 pop-out search (e.g., Duncan and Humphreys 1989; Treisman and Sato 1990;  
480 Wolfe 2007). Therefore, if selection time represents an index of attentional  
481 allocation, then we would expect it to remain invariant over set size when search  
482 is efficient and the target pops out. Indeed, we found that the mean ( $\pm$ SE) slope  
483 of selection time by set size during efficient search was not significant for FEF  
484 neurons ( $1.7 \pm 1.02$  ms/item;  $p = 0.09$ ), FEF LFP ( $0.6 \pm 0.87$   $\mu$ V/item;  $p = 0.48$ ),  
485 and the m-N2pc ( $0.9 \pm 0.9$   $\mu$ V/item;  $p = 0.32$ ; linear regression; Figure 5; Table  
486 1). This contrasts sharply with the significant increases in selection time  
487 observed during inefficient search for all three signals (FEF single-units:  $4.9 \pm$

488 1.14 ms/item;  $p < 0.001$ , FEF LFP:  $7.3 \pm 0.96 \mu\text{V}/\text{item}$ ;  $p < 0.001$ , m-N2pc:  $3.3 \pm$   
489  $0.49 \mu\text{V}/\text{item}$ ;  $p < 0.001$ ; Cohen et al., 2009a). The difference in slope of  
490 selection time by set size for inefficient search relative to efficient search was  
491 significant for all three signals (all  $p < 0.001$ ). This result indicates that selection  
492 time increases with the attentional demands of the search task and not simply  
493 the number of objects in the visual field.

494 Previous studies have also found that the amplitude of the N2pc (Luck et al.  
495 1997b; Luck and Hillyard 1994a; 1990) and FEF neurons (Bichot and Schall  
496 1999; Cohen et al. 2009b) depends on attentional demands. During inefficient  
497 search, the amplitude of the m-N2pc (Woodman et al. 2007) and FEF neurons  
498 (Cohen et al. 2009b) declines with set size. The amplitude of ERP components  
499 is related to the variability in the latency (Luck 2005); greater amplitude is  
500 expected with lower latency variability and lower amplitude is expected with  
501 greater latency variability. Thus, if the latency of the N2pc truly reflects an index  
502 of attentional allocation, amplitude should decline with set size during inefficient  
503 search when selection time variability increases, but should remain constant with  
504 set size during pop-out when selection time variability is constant. We might also  
505 expect reductions in the magnitude of the N2pc because the magnitude of  
506 discrimination in extrastriate neurons decreases with target salience (e.g.,  
507 Katsuki and Constantinidis 2012). Indeed, we found that the slope of amplitude  
508 by set size during efficient search was not significantly different from 0 for FEF  
509 single-units ( $0.01 \pm 0.27 \text{ sp/s}/\text{item}$ ), FEF LFP ( $-0.01 \pm 0.16 \mu\text{V}/\text{item}$ ), and m-N2pc  
510 ( $0.04 \pm 0.13 \mu\text{V}/\text{item}$ ; all  $p > 0.05$ ; Figure 6). In contrast, the average slope of

511 amplitude by set size during inefficient search significantly declined for FEF  
512 single-units ( $-0.59 \pm 0.30$  sp/s/item;  $p < 0.05$ ), FEF LFP ( $-0.35 \pm 0.13$ ;  $p < 0.001$ ),  
513 and the m-N2pc ( $-0.19 \pm 0.04$ ;  $p < 0.001$ ). This resulted in a significantly smaller  
514 magnitude of selection for FEF LFPs and the m-N2pc during inefficient search  
515 (LFPs:  $3.0 \pm 0.56$   $\mu$ V; m-N2pc:  $2.2 \pm 0.15$   $\mu$ V) relative to efficient search (LFPs:  
516  $5.1 \pm 0.65$   $\mu$ V,  $p < 0.01$ ; m-N2pc:  $3.4 \pm 0.47$   $\mu$ V,  $p < 0.01$ ; Wilcoxon rank-sum  
517 test). This pattern of modulation is very similar to effects seen in the human  
518 N2pc (Eimer 1996; Luck and Hillyard 1990).

519 We used a bootstrapping procedure to test whether the reductions in m-  
520 N2pc amplitude with set size during inefficient search were due to increases in  
521 selection time variability. We randomly sampled, with replacement, from all trials  
522 recorded during each set size condition, and computed the selection time for the  
523 m-N2pc for this subset of trials. The sample size was matched across  
524 conditions. This process was repeated 50 times and the standard deviation (SD)  
525 of selection time across samples was used as an index of selection-time  
526 variability within that condition. Using this procedure, we found that selection  
527 time variability was relatively constant during pop-out search (set size 2: SD = 28;  
528 set size 4: SD = 27; set size 8: SD = 28), but increased during TL search (set  
529 size 2: SD = 25; set size 4: SD = 31; set size 8: SD = 42). This result suggests  
530 that increased variability in selection time is at least one contributing factor to  
531 reductions in the amplitude of the m-N2pc during inefficient search. Altogether,  
532 these results indicate that selection time and amplitude in FEF neurons are

533 sensitive to attentional demands and extends these observations to LFPs and  
534 the m-N2pc.

535

536 *Trial-by-trial correlation of spike rate, LFP, and ERP amplitude*

537         The similar pattern of modulation in all three signals suggests that FEF may  
538 be one source of modulations in posterior visual areas that generate the N2pc. If  
539 feedback from FEF is present during pop-out search and influences the neural  
540 mechanisms that generate the m-N2pc, then the trial-by-trial amplitude of FEF  
541 LFPs should covary with posterior ERP amplitude. The mean correlation  
542 between FEF LFP and the m-N2pc was significantly greater than zero ( $0.53 \pm$   
543  $0.02$ ;  $p < 0.001$ ; Wilcoxon signed-rank test) and comparable to values observed  
544 during inefficient search (Cohen et al. 2009a). We verified that the correlation  
545 remained significant when performed on the difference in amplitude between  
546 posterior surface electrodes (Figure 7A;  $r = 0.03 \pm 0.009$ ;  $p < 0.01$ ), which rules  
547 out the possibility that it is simply due to shared noise at the reference.  
548 Moreover, this correlation was absent during the baseline period before array  
549 presentation ( $p = 0.46$ ) and when only distractors were in the receptive field of  
550 the LFP ( $p = 0.20$ ), illustrating both spatial and temporal specificity. It is known  
551 that only the superficial layers of FEF feed back to visual cortex (Pouget et al.  
552 2009), which is a likely reason why some LFP sites show negligible correlations  
553 with the m-N2pc (Figure 7A). While it is possible that this correlation could be  
554 due to either feed-forward or feed-back signals, our observation that selection  
555 emerges first in FEF suggests that it reflects feedback. This interpretation is

556 supported by studies showing a causal effect of microstimulation and  
557 pharmacological inactivation of FEF on neuronal activity in posterior visual  
558 areas (Ekstrom et al. 2008; Monosov et al. 2011; Moore and Armstrong 2003).

559 The spike rates of FEF single-units were significantly correlated with LFPs  
560 recorded from the same electrode (Figure 7B;  $r = -0.09 \pm 0.008$ ;  $P < 0.001$ ),  
561 which is consistent with the hypothesis that LFPs reflect postsynaptic activity of  
562 neurons surrounding the electrode tip. This correlation dropped, but remained  
563 significant, when it was performed across electrodes spaced  $\sim 1$ mm apart ( $r = -$   
564  $0.02 \pm 0.008$ ;  $p < 0.001$ ), suggesting that these units were nearing the edge of  
565 the area over which the LFP integrated (Katzner et al. 2009). In contrast, the  
566 mean correlation between FEF spiking and the m-N2pc measured at posterior  
567 ERP electrodes was not significantly different from zero (Figure 7C;  $r = 0.004$ ,  $p$   
568  $= 0.61$ ), which is consistent with studies showing a negligible relationship  
569 between these electrophysiological signals (Cohen et al. 2009a).

570

#### 571 *Control for differences in signal-to-noise ratio across measures of neural activity*

572 A potential concern is that the observed differences in selection time  
573 across the electrophysiological signals are due to differences in the signal-to-  
574 noise properties of each signal. The pattern of target selection times could just  
575 be a difference inherent in the neural measures at different spatial scales. In  
576 particular, the signal-to-noise characteristics of the spike times of single neurons  
577 may be different from the signal-to-noise characteristics of an LFP derived from a  
578 weighted average of  $\sim 10^5$  neurons within  $\sim 1$  mm<sup>2</sup> of the electrode tip (Katzner et



579 al. 2009) and from the signal-to-noise characteristics of an ERP component  
580 derived from a weighted average of many cm of cortex (Nunez and Srinivasan  
581 2006) It may be that through summation, the LFPs and ERPs become more  
582 reliable measures, or the summation may introduce more noise into the LFP and  
583 ERP. Following Cohen et al. (2009a), we reasoned that the signal-to-noise  
584 characteristics of each neural signal will determine how increasing trial numbers  
585 affects the reliability with which the target can be discriminated (see also Bichot  
586 et al. 2001b). We fit an exponential curve to selection times as a function of trial  
587 number measured from FEF neurons, LFP, and the m-N2pc. The average  
588 number of trials per session was greater than the number of trials necessary for  
589 all signals to reach asymptote (Figure 8A, black point). The rate of decay,  $\tau$ , was  
590 statistically indistinguishable for neurons ( $101 \pm 26.4$ ; median  $\pm$  SE), LFP ( $139 \pm$   
591  $33.0$ ), and the m-N2pc ( $129 \pm 24.9$ ; Figure 8B; all  $p > 0.09$ ; Wilcoxon rank-sum  
592 test). In a previous study of inefficient search (Cohen et al. 2009a), the  
593 corresponding values were  $94 \pm 14.2$ ,  $144 \pm 21.7$ , and  $97 \pm 17.5$  for neurons,  
594 LFP, and the m-N2pc, respectively (all  $p > 0.14$ ). This result is consistent with  
595 the comparable confidence intervals that are apparent in Figure 2. However, the  
596 level at which selection time reached asymptote was lowest for neurons ( $138 \pm$   
597  $4.3$ ), followed by LFP ( $150 \pm 4.2$ ), and latest by the m-N2pc ( $180 \pm 4.0$ ; Figure  
598 8C; all  $p < 0.05$ , Wilcoxon rank-sum test). This result is consistent with the  
599 ordering of selection times reported above (Figure 3). In a previous study of  
600 inefficient search (Cohen et al. 2009a), the corresponding values were  $151 \pm 3.2$ ,  
601  $172 \pm 5.2$ , and  $188 \pm 2.7$  for neurons, LFP, and the m-N2pc, respectively (all  $p <$

602 0.01). Thus, we can conclude that the timing differences across the signals are  
603 not due to different signal-to-noise characteristics of the neural measures.

604

## 605 **DISCUSSION**

606 To understand the neural mechanisms that generate attention-related  
607 ERPs, we recorded the macaque homologue of the N2pc component  
608 simultaneously with single-unit spiking and LFPs in FEF. We asked how the  
609 timing of selection in all three signals depends on the attentional demands of the  
610 task by directly comparing the timing of selection during an efficient pop-out  
611 search task with an inefficient form search task (Cohen et al. 2009a). We  
612 showed that both the timing and magnitude of selection in all three signals  
613 depends on the attentional demands of the task. However, selection was evident  
614 in FEF before the m-N2pc regardless of search efficiency. These results are  
615 consistent with the hypothesis that the primate N2pc is due to feedback from  
616 higher cortical areas, even when bottom-up salience is sufficient for task  
617 performance. These results also inform us about the neural mechanisms that  
618 generate the N2pc and constrain theories of visual attention.

619

### 620 *Comparison of human and macaque N2pc*

621 Before we consider the relevance of our findings to the study of human  
622 ERPs, we must first ask whether the macaque m-N2pc indexes the same  
623 cognitive operations as the human N2pc. The m-N2pc satisfies several  
624 established criteria for across-species homology (Woodman 2011). Previous

625 studies have shown that the spatial distribution of the N2pc is maximal over  
626 posterior electrodes in both humans (Luck and Hillyard 1994a) and monkeys  
627 (Cohen et al. 2009a; Woodman et al. 2007). In addition, previous studies have  
628 found that the latency of the N2pc increases with set size in both humans (Luck  
629 and Hillyard 1990) and monkeys (Woodman et al. 2007) when search is  
630 inefficient. We found that the latency and amplitude of the macaque N2pc (m-  
631 N2pc) are insensitive to changes in set size during efficient pop-out search,  
632 which is consistent with an index of attentional demands and not simply the  
633 number of objects on the screen. We also found that the amplitude of the m-  
634 N2pc is greatest during efficient search, which is observed with the human N2pc  
635 (Eimer 1996). Thus, the m-N2pc satisfies multiple criteria for homology including  
636 a similar spatial distribution, task dependence, and timing. Our findings provide  
637 new support for this across-species homology.

638 One notable across-species difference is that the polarity of the N2pc is  
639 reversed. Humans show a contralateral negativity and monkeys show a  
640 contralateral positivity. This is likely due to differences in cortical folding in  
641 posterior visual areas across the species. For example, macaque V4 is located  
642 on the surface of the prelunate gyrus (Zeki 1971), but the human homologue  
643 spans several sulci (Orban et al. 2004). Another potential across-species  
644 difference is that several studies of the human N2pc have reported increases in  
645 amplitude with attentional demands (Hopf et al. 2002; Luck et al. 1997b),  
646 whereas we observed declines in the m-N2pc. This is likely due to differences in  
647 task design rather than species. In humans, this effect is observed when targets

648 and distractors are tightly grouped in a limited portion of the visual field. In  
649 contrast, when stimuli are well spaced across hemifields as in our monkey  
650 studies, amplitude decreases with additional stimuli (Eimer 1996). Future  
651 experiments that compare the N2pc observed in humans and monkeys under  
652 identical experimental design (e.g., Godlove et al. 2011a; Reinhart et al. 2012a;  
653 Reinhart et al. 2012b) can further establish the homology across species.

654

655 *The origin and interpretation of the N2pc*

656 We found that the pattern of modulation in FEF LFP and the N2pc were  
657 similar during inefficient and efficient visual search and the signals were  
658 correlated on a trial-by-trial basis. This suggests that FEF is influencing the  
659 generation of the N2pc, but it seems unlikely that the contribution is direct. First,  
660 voltage distributions, current source density topography, and dipole source  
661 modeling suggests that the dipole seen as the N2pc on the scalp originates in  
662 posterior visual cortex in humans (Hopf et al. 2004; Hopf et al. 2000; Luck et al.  
663 1997a) and monkeys (Cohen et al. 2009a; Woodman et al. 2007; Young et al.  
664 2011). Second, the timing differences that we observed seem inconsistent with  
665 identification of FEF as the direct neural generator because extracranial EEG is  
666 not delayed relative to intracranial synaptic activity (Givre et al. 1994; Nunez and  
667 Srinivasan 2006). However, both the human and the macaque N2pc is not  
668 observed at anterior electrodes near FEF (Woodman et al. 2007; Cohen et al.  
669 2009). How can this be? Two possibilities are consistent with what we assume  
670 occurring in the working brain. First, the electrical fields generated in FEF might

671 be actively canceled by electric fields of the opposite polarity in nearby cortical  
672 areas. Second, it is possible that the dipole is simply oriented parallel to the skull  
673 such that it does not produce an observable extracranial signal. Future  
674 recordings from multiple intracranial electrodes will provide more detailed  
675 information about the configuration of the electrical fields in prefrontal cortex and  
676 distinguish between these explanations.

677         Instead, these observations are consistent with the hypothesis that FEF is  
678 part of a frontal-parietal network involved in driving attentional shifts in posterior  
679 visual areas thought to generate the m-N2pc (Corbetta 1998). FEF is part of a  
680 distributed network of structures shown to encode a representation of visual  
681 salience for guiding attentional deployments (Thompson and Bichot 2005). Our  
682 observation that activity in FEF modulates concurrently with the m-N2pc during  
683 both efficient and inefficient search suggests that this network is engaged  
684 regardless of search efficiency. Some studies have questioned the need for an  
685 influence of frontal structures during efficient search tasks based on BOLD  
686 responses (Leonards et al. 2000) and effects of transcranial magnetic stimulation  
687 (Muggleton et al. 2003) in prefrontal areas during inefficient, but not efficient  
688 search. However, these results are inconsistent with findings from monkey  
689 studies showing that reversible inactivation of FEF with the GABA agonist  
690 muscimol impairs performance on pop-out search tasks (Monosov and  
691 Thompson 2009; Wardak et al. 2006). In addition, other studies report  
692 comparable BOLD activation in human (Anderson et al. 2007) and monkey  
693 (Wardak et al. 2010) FEF irrespective of search efficiency. Thus, our results add

694 to converging evidence suggesting that FEF plays an important role in  
695 processing visual targets even during efficient search tasks.

696 Our results also inform the interpretation of the cognitive processes indexed  
697 by the primate N2pc. The degree to which the human N2pc reflects the initial  
698 spatial selection of a target or post-selection processing has been unclear (Eimer  
699 and Kiss 2010; Theeuwes 2010). Our data place clear limits on the degree to  
700 which the latency of the N2pc can be interpreted as the time of initial spatial  
701 selection because the N2pc followed selection in prefrontal cortex even during an  
702 efficient search task that required minimal feature analysis. One limitation of the  
703 current task design is that the singleton was always task relevant, and therefore  
704 we cannot make strong claims about the relative timing of selectivity based on  
705 pure bottom-up physical salience. However, our results are consistent with a  
706 growing body of work demonstrating the sensitivity of the N2pc to top-down  
707 factors and extend that work by suggesting that FEF is a likely source of this top-  
708 down modulation. When a color singleton is not task relevant, the N2pc is small  
709 or absent (Eimer et al. 2009; Luck and Hillyard 1994a) and selectivity in FEF is  
710 minimal (Bichot et al. 2001a). The N2pc is also sensitive to rewards associated  
711 with target localization and identification (Kiss et al. 2009), as are FEF neurons  
712 (Ding and Hikosaka 2006). Lastly, trial history and experience influence both the  
713 N2pc (An et al. 2012; Eimer et al. 2010) and FEF neurons (Bichot and Schall  
714 1999; 2002; Bichot et al. 1996). The same FEF neurons that are modulated by  
715 these top-down factors project to earlier visual areas thought to generate the

716 N2pc (Pouget et al. 2009), which is consistent with the hypothesis that FEF is the  
717 source of these modulations.

718

719 *Relation to previous studies of attentional selection across cortex*

720         Several recent studies have investigated the timing of attentional selection  
721 across cortex using paired intracranial recordings. Zhou and Desimone (2011)  
722 observed earlier selection in FEF neurons relative to V4 neurons during an  
723 inefficient conjunction search tasks. Similarly, during inefficient conjunction  
724 search, Buschman & Miller (2007) observed earlier selection in FEF and  
725 dorsolateral prefrontal neurons. In addition, Monosov et al., (2010) found that  
726 FEF neurons exhibited significant spatial selectivity before IT neurons exhibited  
727 significant object selectivity during a difficult search and identification task. Thus,  
728 converging evidence supports the hypothesis that attentional selection in FEF  
729 neurons precedes attentional selection in several earlier visual areas when tasks  
730 are attentionally demanding (see also Cohen et al. 2009a), but findings during  
731 efficient pop-out search are less consistent. One study found that selectivity in  
732 lateral intraparietal area precedes selectivity in FEF and dorsolateral prefrontal  
733 cortex during pop-out search (Buschman and Miller 2007), but a recent study  
734 found the opposite; frontal areas selected before parietal areas during pop-out  
735 (Katsuki and Constantinidis 2012). In addition, studies using nearly identical task  
736 designs and analytical methods found that both FEF and LIP select the location  
737 of a color singleton at approximately the same time (Thomas and Pare 2007;  
738 Thompson et al. 1996). Our observation that the m-N2pc selects the target

739 location later than FEF is consistent with studies suggesting that FEF selectivity  
740 precedes selectivity in early visual areas, but it is important to note that ERPs  
741 cannot be regarded as a direct proxy for underlying neural activity. ERPs are  
742 thought to reflect the summation of synchronous activity across many  
743 centimeters of cortex (Nunez and Srinivasan 2006), and the N2pc likely reflects  
744 attentional selection across multiple visual areas. Thus, additional simultaneous  
745 recordings in frontal and parietal areas will be necessary to conclusively  
746 determine the degree to which the timing of selection across neurons in different  
747 cortical areas depends on task demands.

748         In addition to our observations regarding the timing relationship between  
749 FEF and the m-N2pc, we also observed differences in the relative timing of  
750 selection in FEF single-units and LFP depending on the attentional demands of  
751 the task. Previous studies have found that FEF LFPs select the target later than  
752 FEF single-units (Cohen et al. 2009a; Monosov et al. 2008). We found that the  
753 delay in selection time between FEF single-units and LFPs was absent during  
754 pop-out. LFPs reflect the synaptic activity of thousands of neurons surrounding  
755 the electrode tip (Katzner et al. 2009; Mitzdorf 1985), whereas spiking activity  
756 reflects only a single neuron. Therefore, one interpretation of this result is that  
757 the population of FEF neurons contributing to the LFP reached a consensus  
758 about target identity more efficiently during pop out. The absence of a delay  
759 between selection in FEF single-units and LFP was unexpected given a previous  
760 report showing a significant delay between the two signals in one monkey  
761 performing a covert pop-out search task in which target location was reported via



762 lever turn (Monosov et al. 2008). Covert visual search requires active  
763 suppression of saccade generating neurons in FEF (Thompson et al. 2005),  
764 which could have postponed LFP selectivity. In line with the present findings,  
765 another interpretation is that the delayed LFP selection time relative to single-  
766 units during covert search reflects the increased attentional demands required to  
767 map target location to the lever turn.

768

769 *Relation to theories of visual search and attention*

770 Early models of visual attention proposed that targets that could be  
771 distinguished by a single feature could be localized “pre-attentively” solely  
772 through bottom-up selection of local feature differences (Itti and Koch 2001;  
773 Treisman and Gelade 1980). Other studies have shown that prior knowledge  
774 and expectation have a strong influence on pop-out performance (Joseph et al.  
775 1997; Maljkovic and Nakayama 1994; Treisman and Gormican 1988). Our  
776 finding that an attentional control area, FEF, contributes to the generation of the  
777 N2pc during efficient search is consistent with theories of visual attention that  
778 propose no strong dichotomy between efficient and inefficient search (Bundesen  
779 et al. 2005; Desimone and Duncan 1995; Treisman and Sato 1990; Wolfe 2007).  
780 This result is consistent with a recent study which found that the enhanced  
781 response of V4 neurons to a pop-out stimulus is eliminated when attention is  
782 directed elsewhere in the visual field (Burrows and Moore 2009). Thus, our  
783 findings add to behavioral and neurophysiological evidence that top-down input  
784 from frontal cortex may guide attentional selection even during pop-out search.

785

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1065

1066 **FIGURE CAPTIONS**

1067

1068 **Figure 1.** Visual search task and behavior. **A**, After fixating for a variable delay,  
1069 a search array appeared consisting of one target (e.g., green disk) and 1, 3, or 7  
1070 distractors (e.g., red disks). Monkeys were required to make a single saccade to  
1071 the target for reward. Target identity varied across sessions. **B**, We directly  
1072 compared our new results from efficient pop-out search with previously published  
1073 data collected from the same monkeys performing an inefficient visual search  
1074 task (Cohen et al. 2009a). All procedures were identical to efficient search  
1075 except that the monkeys searched for a T versus L (or vice versa). **C**, mean  
1076 response time (RT) to the target as a function of set size for both search tasks.  
1077 Error bars represent SE around the mean of the session means. Asterisks  
1078 indicate significant differences in slope across tasks (\*\*\*) for  $p < 0.001$ .

1079

1080 **Figure 2.** Target selection during a representative session. Average activity of  
1081 one neuron (**A**), LFP site (**B**), and ERP over visual cortex (**C**) when the search  
1082 target was inside (dark) and opposite (light) the receptive field (or preferred  
1083 location) of the signal. Bands around average activity indicate 95% confidence  
1084 intervals. Vertical lines indicate selection time when the two curves became  
1085 significantly different. Bands around selection time indicate SE estimated using a  
1086 bootstrap procedure (100 samples). Solid triangle indicates mean response time  
1087 for this session.

1088

1089 **Figure 3.** Population selection times for each type of signal. Cumulative  
1090 distributions of selection times measured from intracranial FEF single-unit spiking  
1091 (blue), FEF LFPs (green), and the posterior m-N2pc (red) during pop-out search.  
1092 Selection precedes saccadic response time (RT, dashed grey line).

1093

1094 **Figure 4.** Within-session selection time differences across signals. Differences  
1095 between selection time measured from simultaneously recorded m-N2pc and  
1096 FEF single-unit spikes (**A**), mN2pc and FEF LFPs (**B**), and FEF LFPs and single-  
1097 unit spikes (**C**). The solid vertical line indicates the mean of the distribution. The  
1098 dashed vertical line indicates zero. Asterisks indicate significant differences from  
1099 zero (Wilcoxon rank-sum test, \*\*\* for  $p < 0.001$ ; n.s. for nonsignificant).

1100

1101 **Figure 5.** Average selection time for FEF single-unit spikes (top), FEF LFPs  
1102 (middle), and m-N2pc (bottom) at each set size. Asterisks indicate significant  
1103 difference in slope across efficient (pop-out) and inefficient (T versus L) search  
1104 (multiple linear regression; \* for  $p < 0.05$ ; \*\* for  $p < 0.01$ ; \*\*\* for  $p < 0.001$ ). Error  
1105 bars indicate SE.

1106

1107 **Figure 6.** Average magnitude of selection (response amplitude when the target  
1108 was in the preferred location of the signal minus the response amplitude when a  
1109 distractor was in the preferred location) for FEF single-unit spikes, FEF LFPs, and  
1110 the m-N2pc at each set size. Conventions as in Figure 5.

1111

1112 **Figure 7.** Trial-by-trial correlations between FEF LFP amplitude and the  
1113 amplitude difference between posterior EEG electrodes (**A**), between FEF LFP  
1114 amplitude and FEF single-unit firing rate recorded on the same electrode (**B**),  
1115 and between FEF single-unit firing rate and the amplitude difference between  
1116 posterior EEG electrodes (**C**). Asterisks indicate significance from zero,  
1117 indicated by the vertical dashed line (Wilcoxon rank-sum; n.s. for  
1118 nonsignificance; \*\* for  $p < 0.01$ ; \*\*\* for  $p < 0.001$ ).

1119

1120 **Figure 8.** Selection time by number of trials. A: average selection time as a  
1121 function of number of trials (randomly sampled, with replacement) across  
1122 recordings of FEF single-units (blue), LFP (green), and m-N2pc (red). The black  
1123 point (with SE line) indicates the average number of trials in our data set. B:  
1124 decay parameter ( $\tau$ ) estimates from exponential fits to the selection time by  
1125 number of trials. C: asymptote parameter ( $TST_{min}$ ) estimates from the  
1126 exponential fits plotted in B.

1127

1128 **Table 1.** Response time and selection time search slopes, in ms/items, for each  
1129 neural signal during efficient (pop-out) and inefficient visual search. Values are  
1130 slope of linear regression  $\pm$  SE. Asterisks indicate significant slope coefficient for  
1131 set size: \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ . Pairwise comparisons indicate  
1132 significant interaction term for set size and task. Inefficient search data have  
1133 been previously described (Cohen et al., 2009a).

1134

1135 **Table 2.** Comparisons of selection time and latency of visual onset across  
1136 signals during efficient (pop-out) search. Values are means  $\pm$  SE. Brackets with  
1137 asterisks indicate significant differences between signals (Wilcoxon rank-sum  
1138 test). Asterisks alone indicate significant difference from zero (Wilcoxon signed-  
1139 rank test). \* for  $P < 0.05$ ; \*\* for  $P < 0.001$ .

1140

Table 1. Response time and selection time search slopes, in ms/items, for each neural signal during efficient (pop-out) and inefficient visual search.

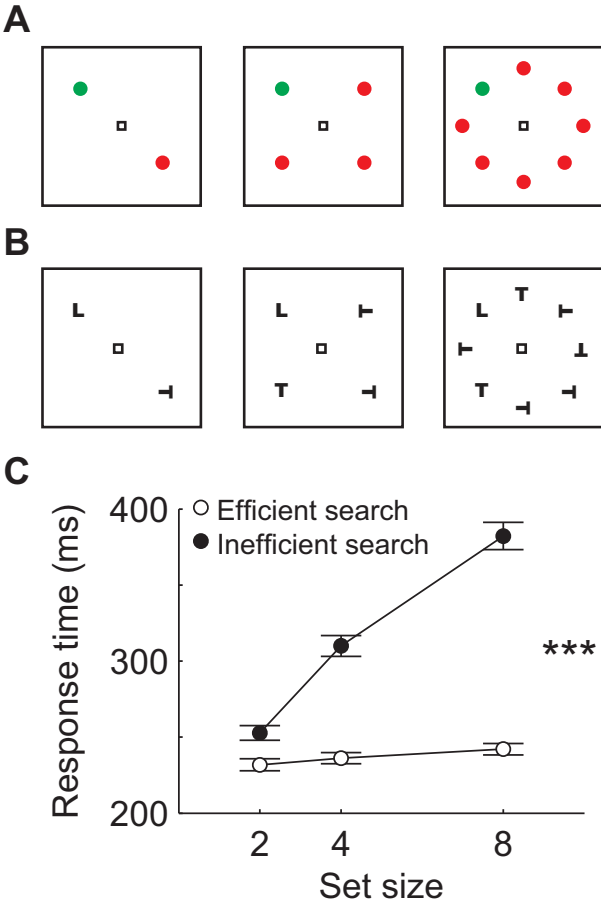
	Monkey Q	Monkey S
Response time		
Inefficient	22.6 ± 1.6 ***	10.5 ± 1.4 ***
Efficient	2.3 ± 0.8 *	0.7 ± 1.0
		】***
FEF single-units		
Inefficient	4.6 ± 1.5 ***	5.3 ± 1.7 ***
Efficient	1.2 ± 1.1	2.3 ± 1.1
		】***
FEF LFP		
Inefficient	8.2 ± 1.4 ***	6.3 ± 1.3 ***
Efficient	1.1 ± 1.0	0.4 ± 1.5
		】***
m-N2pc		
Inefficient	9.7 ± 0.5 ***	6.2 ± 0.9 ***
Efficient	0.9 ± 1.0	1.0 ± 0.9
		】***

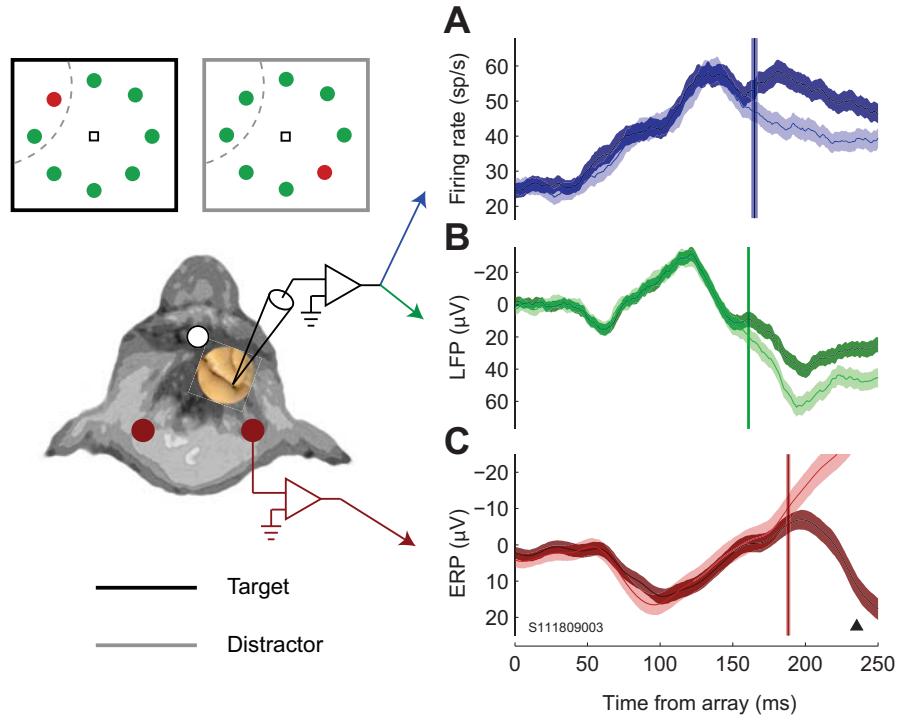
Values are slope of linear regression ± SE. Asterisks indicate significant slope coefficient for set size: \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001. Pairwise comparisons indicate significant interaction term for set size and task. Inefficient search data have been previously described (Cohen et al., 2009a).

Table 2. Comparisons of target selection time and latency of visual onset across signals during efficient (pop-out) search.

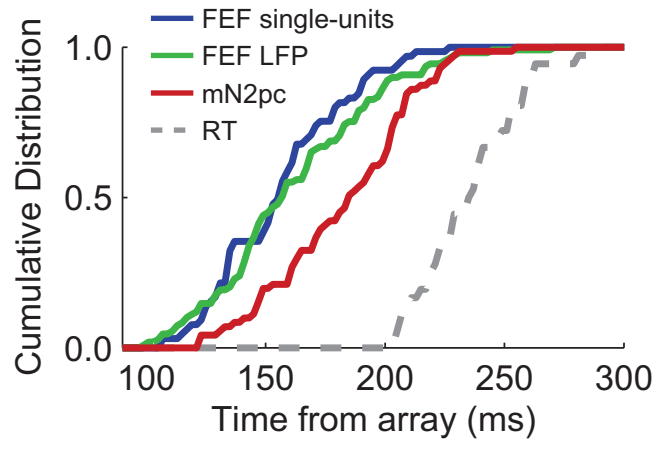
	Monkey Q	Monkey S
Visual onset time, ms		
Single-units	71 ± 3.8	66 ± 2.6
LFP	52 ± 1.9	61 ± 2.6
ERP	67 ± 3.1	68 ± 4.6
Selection time, ms		
Single-units	155 ± 4.2	160 ± 5.6
LFP	160 ± 3.7	167 ± 6.1
ERP	168 ± 4.1	203 ± 4.2
Selection time difference		
ERP - Single-units	9 ± 4.3	39 ± 4.6 **
ERP - LFP	6 ± 2.6 *	31 ± 4.7 **
LFP - Single-units	3 ± 3.2	8 ± 4.6

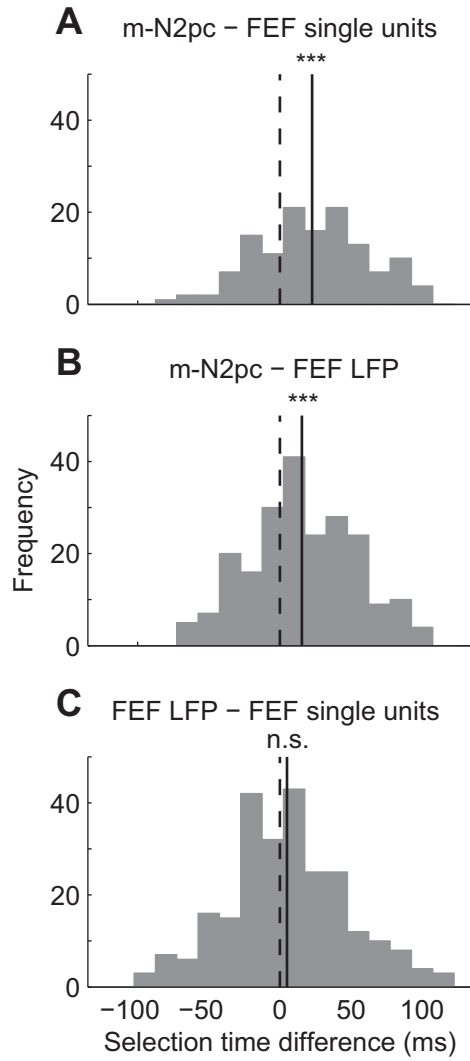
Values are means ± SE. Brackets with asterisks indicate significant differences between signals (Wilcoxon rank-sum test). Asterisks alone indicate significant difference from zero (Wilcoxon signed-rank test). \* for P < 0.05; \*\* for P < 0.001











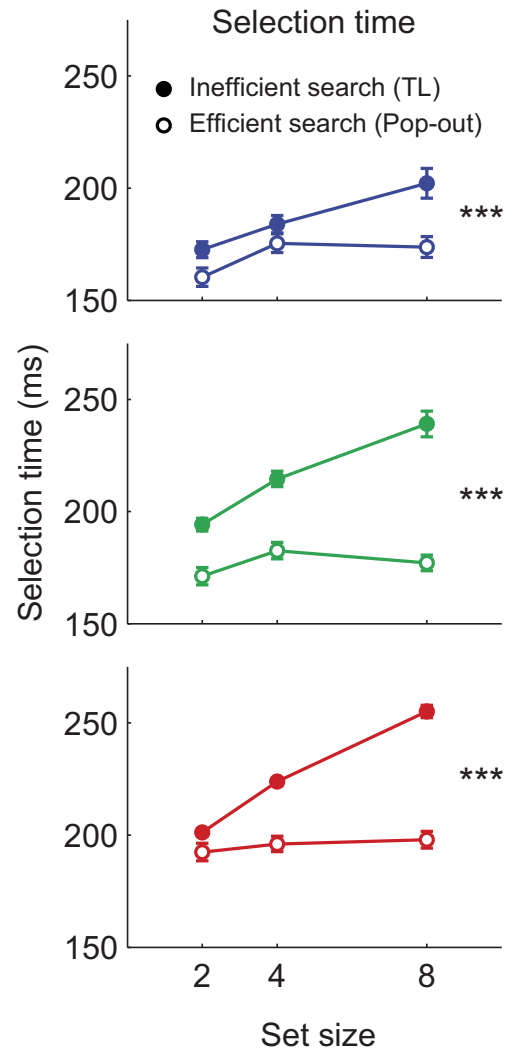


Figure 6

